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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Takashi NIWA et al. :

Serial No. 09/740,664 :

Filed: December 19, 2000

For: NEAR-FIELD OPTICAL PROBE : AND MANUFACTURING METHOD :

FOR SAME, AND NEAR-FIELD : OPTICAL APPARATUS USING :

THE NEAR-FIELD PROBE : Docket No. S004-4168

COMMISSIONER OF PATENTS AND TRADEMARKS Washington, DC 20231

Attention: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

S I R:

On March 9, 2001, the PTO issued a Notice To File
Missing Parts Of Nonprovisional Application ("Notice") advising
that the application was filed without a declaration and
providing a two-month period in which to submit the declaration
and surcharge for late filing thereof.

In response to the PTO Notice, a duly signed declaration is submitted herewith together with a check in the sum of \$130.00 to cover the required surcharge for the late

MAILING CERTIFICATE ON LAST PAGE

filing of the declaration. Also submitted is a copy of the PTO Notice. Should the check prove insufficient for any reason, authorization is hereby given to charge any such deficiency to Deposit Account No. 01-0268.

It is respectfully requested that the processing of the application be completed, and that the filing receipt be issued in due course.

Respectfully submitted,

ADAMS & WILKS Attorneys for Applicants

By

Bruce I. Adams Res No. 25,386

Reg No. 2

50 Broadway 31st Floor New York, NY 10004 (212) 809-3700

MAILING CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231, on the date indicated below.

Bruce L. Adams

March 22, 2001

Date



United States Pate AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/740,664

12/19/2000

Niwa Takashi

S004-4168

CONFIRMATION NO. 3496

FORMALITIES LETTER

OC000000005843527

ADAMS & WILKS 31st Floor 50 Broadway New York, NY 10004

Date Mailed: 03/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/28/2001 CCHAU1

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